

Yichun Lu

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Address: 2001 Longxiang Boulevard, Longgang District, Shenzhen

EDUCATION BACKGROUNDS

Guangxi University Project 211 09/2018-07/2023

Degree: Bachelor of Management **Major:** Business Administration **Weighted Average Score (GPA):** 86.4/100

Relevant Courses:

Econometrics (91), Probability and Mathematical Statistics (92), Game Theory (98), Logistics & Supply Chain Management (96); Management (87); Macroeconomics (88); Environmental Management (96); Marketing (90); Data Analysis Method and Modeling Practice (89); Project Management (92);

The Hong Kong University of Science and Technology (Guangzhou)

Research Assistant, Society Hub 05/2024-Present

The Chinese University of Hong Kong, Shenzhen

Master of science in Computational Social Science 09/2024-Present

PUBLICATIONS

- Wang L, Lu, Y(**Corresponding Author**). Common Institutional Ownership and Corporate ESG Performance in China. (*Finance Research Letters, IF10.4, SSCI, JCRQ1*, Published).
- Lu, Y. The Linkage between Firm-Specific Trade Policy Uncertainty and Stock Price Crash Risk: Empirical Evidence from China. (*Finance Research Letters*, Under review).
- Wang, L., Xia, Y., LU, Y. A Novel Forecasting Approach by the GA-SVR-GRNN Hybrid Deep Learning Algorithm for Oil Future Prices. *Computational Intelligence and Neuroscience*, 2022.
- LU, Y. Wang L, Yu Yang. Does Digital Financial Inclusion Matter for Firms' ESG Disclosure? Evidence from China, 2022. *Frontiers in Environmental Science*.
- Lu, Y. Xiang Li. etc. Portfolio and Trading Strategy Optimization Based on Lightweight Deep Learning Neural Network (*Reference Letter*).
- Wang, L., LU, Y. Does China's Carbon Emissions Trading (CET) Policy Improve the ESG Performance of CET-Covered Firms? (*Business Strategy and the Environment*, Under review).
- Lu, Y., Fang, D., Hu, X. Does Influencers Enable Inter-City Trade? Evidence from High-Frequency Data in Zhejiang, China. (Working Paper).
- Lu, Y. Transformation or Dependency? A Dual Machine Learning Empirical Analysis of the Heterogeneous Impact of Corporate Environmental Attention on Green Technological Innovation in Chinese Multinational Enterprises. (Working Paper)

PROJECT EXPERIENCES

Project 1: The Dark Side of Common Institutional Ownership: Evidence from Chinese Listed Firms' ESG

Performance | Corresponding Author 08/2022-09/2023

- Conducted a study on the influence of common institutional ownership (CIO) on Environmental, Social, and Governance (ESG) performance, using data from Chinese listed companies spanning 2010 to 2020;
- Developed a novel understanding of the effects of CIO on ESG metrics, providing fresh insights into corporate behavior and performance;
- Identified and emphasized the interconnected relationships among institutional stakeholders, shedding light on potential collaborative dynamics in the realm of China's corporate governance.

Project 2: Supervision or Collusion? The Association of Institutional Co-ownership with Corporate Exports

09/2021-08/2022

- Investigated how institutional co-ownership influences corporate exports among China's A-share listed companies (2004-2014), utilizing a fixed-effects regression model;
- Offered new insights into the relationship between institutional investors and corporate exports, moving beyond the traditional focus on single institutional investors;
- Enhanced theoretical knowledge and highlighted the potential for collusion within institutional co-ownership arrangements.

Project 3: Does the Digital Financial Inclusion Promotes Firms' Disclosure of ESG

09/2020-06/2022

- Independently gathered and organized data of A-share non-financial listed companies (2008-2018), aligning it with the digital financial inclusion index of Chinese cities;
- Investigated how digital financial inclusion affects corporate ESG information disclosure in China and its underlying mechanisms;
- Analyzed the correlation between ESG disclosure levels and digital financial inclusion in Chinese cities, providing new empirical insights using three sub-indicators of digital financial inclusion.

Project 4: Does China's Carbon Emissions Trading (CET) Policy Improve the ESG Performance of CET-Covered Firms?

09/2022-06/2023

- Conducted research using data from Chinese publicly-traded companies (2011-2020) to explore the causal relationship between China's CET policy and the ESG performance of affected firms;
- Approached the CET policy as a quasi-natural experiment, applying a Difference-in-Differences (DID) method to gain deeper insights;
- Demonstrated that the CET policy significantly improves ESG performance, notably in state-owned companies and firms located outside China's eastern region.

INTERNSHIP EXPERIENCES

Commercial Aircraft Corporation of China Ltd.

Shanghai, China 03/2023-05/2023

Position: Intern in Process Management

- Involved in process optimization and IT management tasks, encompassing business process analysis, application of process management tools, and project implementation;
- Contributed to a research project centered on business process reengineering, as well as a process optimization initiative aimed at boosting departmental efficiency;
- Cultivated skills in professionalism, teamwork, and effective communication.

Xi'an Yanliang Salt Industry Co., Ltd.

Shaanxi, China 01/2022-02/2022

Position: Manager Assistant

- Applied my business administration background to strategic planning, market analysis, and improving supply chain efficiency, as a manager assistant intern;
- Involved in ESG initiatives, performing data analysis and leveraging my previous research experience;
- Facilitated managerial communication and strategy execution across various departments, demonstrating adaptability and quantitative skills aligned with the company's goals.

OTHER SKILLS

Software: Master user of Python, MATLAB, R, STATA and SPSS;

Design: Proficient in Microsoft Suite (Excel, Word, PowerPoint), LaTeX and other office software; skilled at scientific research drawing;

Project Management: Adept at teamwork and project management, experienced in text analysis, and possesses strong innovative capabilities.